



# Academic Writing II – Research Ethics

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Get busy **living**?

or

Get busy **dying**?

-- *The Shawshank Redemption*



## What is the purpose of your PhD study?

- Interest: Passion/enthusiasm => a green heart
- Focus: Specialisms/expertise in => one or two disciplines
- Gritty: Persist in doing science => long view vs. short view

## No rich, no research?

- PhD project  $\sim$  A never ending project
- $E = MC^2$ ,
- where E is the efficiency of PhD study, M denotes Motivation, C means the time consumption of your supervisor, and yourself.



**The 3 Must-Have Traits:**

**Intelligence, Energy, and Integrity.**

*-- Warren Buffett*



## I. Ethical Issues in Research: A Framework

- A. Compliance and Ethics
- B. Compliance Concepts
- C. Ethics Concepts

## II. Interpersonal Responsibility

- A. Mentor/Trainee Responsibilities
- B. Determining Publication Practices and Responsible Authorship
- C. Collaborative Science/Competitive Science

## III. Institutional Responsibility

- A. The Institutional Process Regarding Allegations
- B. Conflicts of Interest and Conflicts of Commitment
- C. IRB/IACUC



#### IV. Professional Responsibility

- A. Proposing Research
- B. Dissemination of Findings
- C. Peer Review

#### V. Animals in Research

#### VI. Human Participation in Research

[https://ori.hhs.gov/education/products/montana\\_round1/research\\_ethics.html](https://ori.hhs.gov/education/products/montana_round1/research_ethics.html)



## Honesty and Integrity:

Researchers must be truthful in all aspects of their work. This includes reporting data, methods, and results accurately, without fabrication, falsification, or misrepresentation.

It's the foundation of knowledge. If the data is fake, the conclusions are meaningless and can actively mislead other researchers and the public.

## Objectivity:

Strive to avoid bias in all stages of research, from experimental design and data analysis to interpretation and peer review. This includes disclosing any personal or financial conflicts of interest that could influence the work.

Ensures that findings are a true reflection of the evidence, not a researcher's personal beliefs or desires.



## Informed Consent:

Participants must be given all the information necessary to make a voluntary decision about whether to participate. This includes the purpose of the research, procedures, potential risks and benefits, and their right to withdraw at any time without penalty. Consent must be given freely, without coercion.

It respects the autonomy and dignity of the individual, allowing them to make their own choice about what happens to them.

## Confidentiality:

The researcher knows the participant's identity but promises not to disclose it publicly.

## Anonymity:

The researcher cannot link the data to any specific individual, even themselves.

Protects participants from potential harm (e.g., embarrassment, legal or social repercussions) and encourages honest participation.



## Beneficence and Non-maleficence:

The research should aim to do good (beneficence) and, above all, do no harm (non-maleficence). This means maximizing potential benefits for participants and society while minimizing any potential risks (physical, psychological, social, or economic).

It is a fundamental ethical obligation to ensure that the pursuit of knowledge does not come at the expense of human well-being.

## Justice:

The burdens and benefits of research should be distributed fairly. This principle ensures that no single group of people (e.g., the poor, the institutionalized) bears the brunt of research risks, and that groups who are likely to benefit from the research are also included in it.

Prevents the exploitation of vulnerable populations and ensures that the benefits of research, like new treatments, are available to all.



## Social Responsibility:

Researchers have a duty to conduct research that is of value to society and to disseminate their findings in a way that can benefit the public good. This also includes considering the potential for their research to be misused (dual-use research).

Ensures that research funding and effort are directed toward meaningful problems and that knowledge is shared to advance society.



# International Collaboration

NWAFU, China

Complex forest ecosystems  
Simulation-based optimization



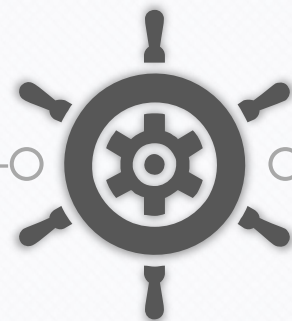
CAF, China

Forest productivity  
Climate-sensitive growth models



CAS, China

Tree physiology  
Forest ecology  
Global change biology



TUM, Germany

Empirical data platform  
CSF silvicultural guidelines  
Model scenario analyses  
Wood-fungi-composite materials  
Forest-based bioeconomy



EFI, Finland

Forest resources  
Ecosystem services



UNIMOL, Italy

Project coordination  
Monitoring safe operational mode  
Climate-smartness indicators



UNIFR, Germany

Forest economics and planning  
Forest risk assessment  
Biodiversity conservation



INIA, Spain

Trade-offs between adaptation  
and mitigation





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